

FINANCIAL INSTITUTIONS MANAGEMENT

Spring, 2009

Instructor: 路祥琛
Office: B1044
Phone: 02-2621-5656 x 2871
Fax: 2621-4755
Office Hours: Wednesday 10:30AM – 12:00 noon,
Thursday 1:00PM – 3:30PM,
Friday 10:30AM – 12:00 noon, or by appointment
E-mail: sclu@mail.tku.edu.tw
Web: <http://webct.learning.tku.edu.tw/>

Textbook

Financial Institutions Management, A Risk Management Approach – Saunders and Cornett, 張春雄、胡勝益導讀 (McGraw-Hill, Fifth Edition).

Calculator

A scientific or financial calculator is required.

Course Description

This course provides an overview of the major financial institutions and introduces basic risk management techniques and tools.

Evaluation

There will be two exams. The final grade will be based on a weighted average of classroom attendance and exams:

Classroom Attendance	5%	10 Points
Examination I	50%	100 Points
Examination II	45%	90 Points
Total	100%	200 Points

Classroom Attendance

Attendance will count toward 5% of the final grade.

Exams

There will be two exams. Each exam will last 1 hour and 30 minutes. Exams must be taken at the designed date/time. Exams will not be cumulative, although concepts developed in earlier chapters will be employed in later chapters. No make-up exam will be given except for (1) reasons recognized by university guideline or (2) the student notifies the teacher directly and in advance with a verifiable excuse. All exams are closed book and closed note. If the student needs to reschedule the mid-term or the final exam due to a conflict, the student needs to submit writing explanation to the teacher two weeks in advance.

Appeal Procedure

If you believe that additional credit is deserved on your exam, you may submit a written appeal for up to one week after the exam is return to you. Your appeal should be typed, one page or less, and include explanations regarding which items deserve more credit and why.

Policy On Cheating

Cheating will not be tolerated. Evidence of cheating will result in minimum penalty of a failing grade for the course (請參閱學生手冊).

Tentative Schedule

This outline is tentative and preliminary. There could be deviation from the schedule. However, exam dates are unlikely to be changed.

<u>Week</u>	<u>Chapter</u>	<u>Topic/Task</u>
-------------	----------------	-------------------

1		Overview of the course (課堂授課)
2	1	Why are financial intermediaries special? (遠距授課)
3	2	Depository institutions (遠距授課)
4	3	Insurance companies (遠距授課)
5	4	Securities firms and investment banks (遠距授課)
6	5	Mutual funds (遠距授課)
7		<u>Spring Break, NO CLASS</u>
8	6	Finance companies (遠距授課)
9		Review (課堂授課)
10		Exam I
11	8	Interest rate risk I (課堂授課)
12	8、9	Interest rate risk I and Interest rate risk II (遠距授課)
13	9	Interest rate risk II (遠距授課)
14	11	Credit risk: individual loan risk (遠距授課)
15		<u>Holiday, NO CLASS</u>
16	12	Credit risk: loan portfolio and concentration risk (遠距授課)
17		Review (課堂授課)
18		Exam II