

FINANCIAL INSTITUTIONS MANAGEMENT

Spring, 2008

Instructor: 路祥琛
Office: B1044
Phone: 02-2621-5656 x 2871
Fax: 2621-4755
Office Hours: Wednesday 10:30AM – 12:00 noon,
Thursday 10:30AM – 12:00 noon,
Friday 1:00PM – 3:30PM, or by appointment
E-mail: sclu@mail.tku.edu.tw
Web: <http://webct.learning.tku.edu.tw/>

Textbook

Financial Institutions Management, A Risk Management Approach – Saunders and Cornett, 張春雄、胡勝益導讀 (McGraw-Hill, Fifth Edition).

Calculator

A scientific or financial calculator is required.

Course Description

This course provides an overview of the major financial institutions and introduces basic risk management techniques and tools.

Evaluation

There will be two exams. The final grade will be based on a weighted average of group project and exams:

Group Project	20%	50 Points
Examination I	40%	100 Points
Examination II	40%	100 Points
Total	100%	250 Points

Classroom Participation

Whenever there is an in-classroom session, students are encouraged to ask questions and to provide feedbacks. Attendance is not required. However, each student is responsible for all material covered in class, regardless of whether the material is in the text.

Group Project

Twenty percent of the grade for this class is based on a group project. The project will be a short written report on some topic related to bank financial management. For more detail, please refer to the Group Project handout on the class website.

Exams

There will be two exams. Each exam will last 1 hour and 30 minutes. Exams must be taken at the designed date/time. Exams will not be cumulative, although concepts developed in earlier chapters will be employed in later chapters. No make-up exam will

be given except for (1) reasons recognized by university guideline or (2) the student notifies the teacher directly and in advance with a verifiable excuse. All exams are closed book and closed note. If the student needs to reschedule the mid-term or the final exam due to a conflict, the student needs to submit writing explanation to the teacher two weeks in advance.

Appeal Procedure

If you believe that additional credit is deserved on your assignments or exams, you may submit a written appeal for up to one week after the assignment or the exam is return to you. Your appeal should be typed, one page or less, and include explanations regarding which items deserve more credit and why.

Policy On Cheating

Cheating will not be tolerated. Evidence of cheating will result in minimum penalty of a failing grade for the course (請參閱學生手冊).

Tentative Schedule

This outline is tentative and preliminary. There could be deviation from the schedule. However, exam dates are unlikely to be changed.

<u>Week</u>	<u>Date</u>	<u>Chapter</u>	<u>Topic/Task</u>
1	2/21		Overview of the course (課堂授課)
2	2/28		<u>Holiday, NO CLASS</u>
3	3/6	1	Why are financial intermediaries special? (遠距授課)
4	3/13	2	Depository institutions (遠距授課)
5	3/20	3	Insurance companies (遠距授課)
6	3/27	4	Securities firms and investment banks (遠距授課)
7	4/3		<u>Spring Break, NO CLASS</u>
8	4/10	5	Mutual funds (遠距授課)
9	4/17		Review (課堂授課)
10	4/24		Exam I
11	5/1	6	Finance companies (遠距授課)
12	5/8	8	Interest rate risk I (課堂授課)
13	5/15	8、9	Interest rate risk I and Interest rate risk II (遠距授課)
14	5/22	9	Interest rate risk II (遠距授課)
15	5/29	11	Credit risk: individual loan risk (遠距授課)
16	6/5	12	Credit risk: loan portfolio and concentration risk (遠距授課)
17	6/12		Review (課堂授課)
18	6/19		Exam II